



Member FINRA\*SIPC  
An SEC Registered Investment Advisor

## Privacy Policy

Woodstock Financial Group, Inc. collects nonpublic personal information about you from the following sources:

- ⌘ Information we receive from you on applications or other forms
- ⌘ Information about your transactions with us or others
- ⌘ Information we may receive from a consumer reporting agency

If you decide to close your account(s) or become an inactive customer, we will adhere to the privacy policies and practices as described in this notice.

Woodstock Financial Group, Inc. restricts access to your personal and account information to those employees who need to know that information to provide products and/or services to you. Woodstock Financial Group, Inc. maintains physical, electronic, and procedural safeguards to guard your nonpublic personal information.

We do not disclose any nonpublic personal information about you to anyone, except as permitted by law.

This policy will remain in effect until further notice.

For your reference, this policy has been posted to our Web site at [www.woodstockfg.com](http://www.woodstockfg.com). If you have any questions or concerns, please contact us via email at [compliance@woodstockfg.com](mailto:compliance@woodstockfg.com)

## Relationship(s) With Other Financial Institutions

Woodstock Financial Group, Inc. maintains an exclusive clearing relationship with Hilltop Securities, Inc. of Dallas, Texas. It should be noted that Hilltop Securities has access to the identical information that Woodstock Financial Group, Inc. has access to. You may view their privacy policy at [www.hts.com](http://www.hts.com).

## Investor Education

Do you know what the FINRA BrokerCheck is?

A brochure describing BrokerCheck is available upon request. Requests can be made by contacting the Compliance Department at 800-478-2602 or via email at [compliance@woodstockfg.com](mailto:compliance@woodstockfg.com). Please reference **FINRA BrokerCheck** in the subject field.

The FINRA “BrokerCheck Hotline” is 800-289-9999. The hotline is open Monday through Friday from 8:00 am until 8:00 pm Eastern Standard Time. The FINRA (Financial Industry Regulatory Authority) website can be found at [www.finra.org](http://www.finra.org)

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