



MISCELLANEOUS FEE/FIRM COMMISSION DISCLOSURE

In advance of any trades you may make in your account, your broker will set the commission amount that you will be charged for such trades; accordingly, you should consult your broker for details of his/her commission charges. The amount of the commission charged to you will be reflected on the trade confirmations you will receive in the mail/email (if you have online access) following each trade. In addition to the commission, the trade confirmation will also reflect a “miscellaneous fee”. The “miscellaneous fee” constitutes a commission paid to WFG, which is in addition to the commission charged by your broker. WFG uses the miscellaneous fee/firm commission to cover the costs of its business-related expenses, although a portion of the fee is paid to WFG’s clearing firm as clearing fees. The miscellaneous fee/firm commission is presently no more than \$65.00 (\$35.00 for options trades), but WFG reserves the right to change the amount of the miscellaneous fee/firm commission at its discretion without prior written notice. In the event that WFG changes the amount of the miscellaneous fee/firm commission, the new amount will be reflected on your trade confirmations. In addition, WFG will post the current amount of the miscellaneous fee/firm commission on WFG’s website: www.woodstockfg.com. The amount of the miscellaneous fee/firm commission charged may vary from customer to customer, depending on the branch office that is assigned to your account. Finally, WFG may, at its discretion, elect to pay some or all of the miscellaneous fees/firm commissions collected from you on your trades to your broker and/or your broker’s branch office, who may then, in turn, use the miscellaneous fees/firm commissions to cover the cost of their respective business-related expenses.

If you have any questions or concerns regarding this disclosure, please call the WFG’s Compliance Department at 800-478-2602 – EXT. 0